

EMPLOYEE CODE OF CONDUCT

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Introduction

The residents of the borough of Enfield expect public servants, at all levels, to demonstrate the highest levels of integrity and professionalism at all times. This is essential to ensure that we deliver value for money services which put our customers first.

This code of conduct applies to all employees of the Council. The purpose of the code is to ensure high standards, and to avoid both impropriety and any appearance of impropriety. Failure to adhere to the standards expected could bring the Council into disrepute. Employees who fail to meet the highest standards of conduct will be managed in accordance with the Council's [Principles of Managing Misconduct](#).

The code of conduct is a framework which clearly outlines the expected standards, behaviours and responsibilities to be followed by all employees. The framework also supports the Council's policies and principles. Each employee of this Council is responsible for keeping up to date with any changes in policy, principles and procedures.

Working with People

Working with clients and customers

The Council exists to provide a range of services for the benefit of people living in the Borough. Members of the public are our clients and customers. The Council has stated that it will provide the best possible services to meet the needs of its customers and clients within the resources available, and treat them all with dignity, respect and fairness.

Working with Councillors

Councillors expect staff to contribute to proper and effective working relationships with them. You serve the Council as a whole - all Councillors and not just those of any controlling group. You must ensure that the rights of Councillors are respected. You must maintain political neutrality at work and be seen to be impartial.

Working with Partners and Colleagues

You are expected to work with partners and colleagues constructively and collaboratively in order to achieve the Council's corporate aims and objectives.

Equality and Diversity

The Council's [Equality and Diversity in Employment Policy](#) provides a framework to ensure that it meets its statutory obligations and policy objectives to protect people from harassment, discrimination or being treated unfairly, when applying for a job (through fair recruitment and selection practices), as a Council employee or, as clients and customers seeking and receiving Council services. The policy provides a means to ensure that we positively *promote* equality of opportunity in all areas of the Council's activities as an employer and provider of services.

All employees are required to treat colleagues, partners and the public in a fair and equitable way, avoiding discrimination in **any** form and anything that could demean, distress or offend other people. Remember that people may have different standards to you and may be offended or feel harassed by behaviour that **you** think is acceptable.

You are responsible for ensuring that you also read the following policies when you join:

- [Equality and Diversity in Employment Policy](#)
- [Dignity at Work Policy](#)

Whistleblowing

If you become aware of activities that you believe to be illegal, improper, unethical or otherwise inconsistent with this Code, you must report the matter through the Council's [Whistleblowing Policy](#), which is available on the intranet. This policy allows you to raise your concern in good faith and without fear of victimisation, subsequent discrimination or disadvantage.

Dress Code

It is the Council's policy to maintain an image of a professional public service organisation, providing high quality services. Therefore the appearance of employees does matter, especially when they deal with members of the public. Employees must therefore dress to a high professional standard appropriate for their role. This may be subject to further clarification at a local level, the line manager should confirm this during the induction process.

Disciplinary action may be taken against you if you consistently breach these dress codes.

Recruitment:

If you are involved in the recruitment of staff, you must ensure that appointments are made on the basis of merit and in accordance with the Council's [Recruitment Policy and Procedure](#).

Induction:

All new entrants to the Council must complete the online Corporate Induction programme. In addition to this all employees should receive a job induction and will be subject to an assessment period of up to 20 weeks. Further details can be found in the Council's Principles of [Induction and Assessment](#).

Health & Safety

The Council has a legal duty of care for the health, safety & welfare of its employees. In addition, all employees must take reasonable steps to protect their own health and safety and that of other people who may be affected by their actions or omissions at work.

You can also seek more information and advice from your trade union safety representative or from the Corporate Health & Safety Team. [Corporate Health and Safety](#)

Working Time Regulations and Additional Employment

To enable the Council to comply with its Health and Safety obligations under the Working Time Regulations you are required to notify the Council of any work undertaken outside of hours worked with the Council.

Failure to disclose such information will be regarded as a disciplinary offence, which could lead to your dismissal from the Council.

Integrity & Honesty

The Council expects and trusts its employees to be honest in their work. The public are entitled to have absolute confidence in the trustworthiness and honesty of Council employees. Any action which breaches that trust or damages or undermines the public's confidence will constitute an act of gross misconduct and will result in disciplinary action possibly leading to dismissal.

Gifts and Hospitality

You are already paid for the work you do, but some people may believe they will get better service or more favourable treatment if they provide additional payments or offer you favours. The general principle is that you should not receive or ask for any gift, reward or advantage for work done in your official capacity.

You must report to your Departmental Director, as soon as possible, any offers of money, favours, gifts or hospitality you are offered and receive (even if you refuse them or they have been received anonymously). This should then be logged in the Departmental register kept by your Director. If your Director says that you cannot accept the gift or hospitality, it must be returned or refused or donated to the Mayor's Charity fund. If it is suggested that this is the case, please contact the Mayor's Secretary in such circumstances.

In practice however, staff may receive or be offered unsolicited gifts and hospitality from genuine sources perhaps as a 'thank you' for a good service or by way of an invitation to a particular event. It is often very difficult to decide which offers can be accepted and which can't. If the value of the gift is £25.00 or less, provided the Director is satisfied that there has been no impropriety, the gift may be accepted.

It would not be acceptable to accept gifts or hospitality (say) from a company who is involved, or about to be involved, in a tendering exercise for a Council contract as any favouritism or perception of favouritism could result in the Council being subject to legal action by unsuccessful tenderers. Further clarification should be sought from the Procurement Team.

If you or your Director are in any doubt please contact either the – Monitoring Officer or the Borough Solicitor for further advice.

Conflict of Interest/Pecuniary Interests

Conflict of Interest

There may be occasions where there is a conflict between the Council's interests and your own. It is important for these interests to be declared and clearly documented. To avoid any difficulties arising from a potential clash of interests you must:

- notify your manager if you have links, of any sort, with an outside organisation (for example through paid employment, consultancies or advisory positions, directorships or partnerships, significant holdings of shares or other financial securities, positions held as a councillor, governor or trustee, or trade union or pressure groups representative) which may:
 - work for the council, or supply goods and services to it (or are tendering or preparing to do so)
 - get (or are applying for) grants or other benefits from the Council, if you are involved in the grant allocation process or where this could create a conflict of interest
- not participate in any appointment process (or application for appointment) to the Council's service, where you are related to, or have a close personal relationship with, the applicant. See link below for further guidance
- avoid acting as professional representative on behalf of a friend, partner, or relative, in their dealings with the Council

- declare **any** possible conflict of interest to your manager

You are responsible for ensuring that you also read the following policy when you join:

[Principles of recruiting and working with people with whom you have a close relationship](#)

Politically Restricted Posts:

Some posts in the Council are politically restricted. These are primarily the most senior officers but can also include:

- those postholders who give advice on a regular basis to Council, Cabinet and other council bodies, plus to individual members
- those who speak on behalf of the authority on a regular basis to the press and media

If your post is restricted you will be disqualified from becoming a Member of another Local Authority, a Member of Parliament or a Member of the European Parliament or from engaging in political activities. Categories of politically restricted and politically sensitive posts are listed in your written statement of terms and conditions of offer.

Where a post is politically restricted, details will be outlined in your terms and conditions of employment, along with details of your right to appeal against the restriction, if applicable.

Disciplinary Rules:

What is misconduct?

Misconduct is behaviour that is deemed inappropriate. There are varying degrees of misconduct, ranging from minor misconduct, to serious misconduct. Misconduct is managed under the Council's [Principles of Managing Misconduct](#). Examples of the types of behaviour that constitute misconduct are outlined in [Appendix 1](#). The list is neither exclusive nor exhaustive.

What is gross misconduct?

Gross misconduct is misconduct of such a serious and fundamental nature that it breaches the contractual relationship between the employee and the Council, and justifies the Council dismissing you without notice. Examples of the types of behaviour that constitute gross misconduct are outlined in [Appendix 2](#). The list is neither exclusive nor exhaustive.

Disciplinary Rules

This Code of Conduct outlines some general standards and you must ensure that you are aware of any other rules that apply to your profession, position and workplace. Further details regarding standards are outlined in the Council's [Principles of Managing Misconduct](#).

Any breaches of this Code, or any other conditions or rules, may render you liable to disciplinary action under the Council's [Principles of Managing Misconduct](#).

Grievance

During your employment with the Council you may experience cause to seek redress for a grievance relating to your employment. Though the Council advises that the majority of situations should initially be addressed informally with the relevant parties to seek a satisfactory resolution at this stage, you reserve the right to submit a formal written grievance, in the first

instance to your line manager, in accordance with the Council's [Principles of Managing Grievances](#).

Use of Council Assets

Council assets comprise not only physical objects and financial resources but also computer data and information generally. We must ensure that we use the public funds entrusted to us in a responsible and lawful manner, ensuring value for money to the local community. You must not take anything that belongs to the Council unless you are specifically authorised to do so. Further you must ensure you have permission **before** you make use of Council property.

Confidentiality

Data Protection and Disclosure of Information

Council customers, suppliers and employees are entitled to protection of their personal information. The Data Protection Act imposes a legal duty on organisations to protect personal information about living individuals. You must keep all personal data confidential, whether computerised or manually held, and comply with the law and Council policies.

Information Security, Email, Internet, Social Networking, Software and Telecommunications Acceptable Usage

You must adhere fully to the Council's [Information Security Policy](#), which is available within I.learn, the Council's learning and development system, which you will be able to access when you have started at the council. This is a comprehensive document detailing the standards expected of all staff in protecting information in whatever form it takes, although it is particularly concerned with information held within, or accessible via, computer systems.

You are also responsible for reading the following policies and procedures when you join:

- [Acceptable Usage Policy](#)
- [Telecommunications Acceptable Usage Policy](#)
- [Email, Internet and Social Networking Usage Policy](#)

Any breach of information security, email, Internet, social networking, software, and telecommunications acceptable usage guidelines may be dealt with in accordance with the Council's [Principles of Managing Misconduct](#).

Safeguarding Children, Young People and Vulnerable Adults:

This authority is committed to safeguarding and promoting the welfare of children, young people and vulnerable adults and expects all staff and volunteers to share in this commitment. If you are employed to work with children, young people or vulnerable adults you must ensure that your conduct, at all times, demonstrates your personal commitment.

DBS checks

The Council will check the status of successful candidates for regulated roles against the relevant barred list(s) held by the Disclosure and Barring Service (DBS) by conducting the relevant DBS check. In the event the checks reveal that a candidate is 'barred' the Council reserves the right to withdraw the conditional offer of employment.

The Council also has a duty to refer certain information about an individual's conduct to the DBS, and will comply with this requirement.

Registration with a professional body

In the event that you are being investigated in accordance with the Council's formal procedures, e.g. misconduct or capability, and the concerns are founded, you should be advised that the Council may be required to formally refer the outcome to the relevant body, eg. the HCPC in the case of social care.

If registration with a professional body is a condition of your employment, it is your responsibility to ensure that your registration does not lapse. The Council reserves the right to take appropriate action including temporary demotion and disciplinary action in the event that registration is not maintained.

Breaking the Law

Breaking the law either criminal or civil at or away from work could damage public confidence in the Council or could make you unsuitable for the work you do. You must advise your line manager immediately in the event that you are arrested by the police whether or not this leads to a criminal charge, caution or conviction of a criminal or civil offence. Your manager will then consider whether the arrest, including the actions leading up to the arrest, risks the reputation of the Council, damages confidence in you and makes you unsuitable to carry out your role. The relevant Council procedures will then be followed accordingly.

If you are in a driving role you must disclose any points received/speeding offences/driving ban to your manager immediately.

Disclosure and Barring Service

Staff employed to work with children or vulnerable adults **must** notify their manager in writing if:

- they are convicted of a criminal offence that affects their suitability for the job they currently do
- they are charged with a crime which (if convicted) would make them unfit for the job they currently do

PLEASE NOTE failure to disclose this information could lead to disciplinary action, which may result in dismissal.

Rehabilitation of Offenders Act 1974

The Rehabilitation of Offenders Act 1974 allows you not to have to disclose a previous conviction **unless** you are applying for a job where you are likely to be in regular contact with:

- people under 18 years of age or over 65;
- vulnerable adults; or if you will be working in:
 - a school or college
 - social services
 - youth service
 - leisure services

If you fall into any of these categories, you must give details (convictions, date and sentence) of any conviction against you even though they may be "spent" under the Rehabilitation of Offenders Act 1974. Any information you give the Council about convictions will be kept confidential and will only be considered in relation to the job you are applying for.

Appendix 1

Misconduct

Misconduct includes, but is not limited to, the following:

- persistent lateness
- failure to complete contractual hours
- unaccountable absences from the work area
- failure to observe policies and procedures, including, for example:
 - the correct recording of working time and attendance,
 - the reporting of sickness, and
 - regulations relating to time off work or release from duties (e.g. annual leave or domestic emergency)
- failure to conform to agreed working practices, where these are reasonably and properly required
- refusal or failure to carry out a reasonable instruction
- failure to comply with dress codes
- failure to take reasonable care of Council property
- disclosure of confidential information without authorisation.
- failure to comply with the Council's 'No Smoking During Working Hours Policy'
- failure to participate in or follow instructions during fire drills/practice

This list is neither exclusive nor exhaustive

Appendix 2

Gross Misconduct

Gross misconduct includes, but is not limited to, the following:

- serious abuse
- corrupt practices
- where an employee is charged with a criminal offence inconsistent with their position
- theft, fraud and deliberate falsification of records (e.g. expenses claims, time sheets, etc)
- physical violence, threats, fighting, assault on another person
- serious bullying, harassment or discrimination
- deliberate damage to Council property or employee's property
- removal or disposal of any Council property without management's permission
- serious insubordination
- interference with safety devices or equipment putting other employees or visitors at risk at work
- serious misuse of the Council's property or name
- misuse of a disabled person's blue badge
- using social media in such a way that discredits the Council and/or your position within it
- bringing the Council into serious disrepute
- incapability whilst on duty brought on by alcohol or illegal drugs, the misuse of drugs or the possession of illegal drugs whilst at work
- the supply and trafficking of drugs, money laundering activities, or the use, sale or distribution of illegal substances
- negligence which causes or might cause unacceptable loss, damage or injury
- serious infringement of health and safety rules
- serious breach of duty of confidence (subject to the Public Interest (Disclosure) act 1998 and Confidential Reporting Policy)
- deliberate or reckless damage, mis-use or interference with or unauthorised use of the Council computers and/or software or unauthorised entry to computer records
- serious misuse of electronic systems
- failure to notify line manager of arrest by the police
- conviction of a criminal offence that is relevant to the employee's employment
- deliberate falsification of a qualification that is a stated requirement of the employee's employment or results in financial gain to the employee
- undertaking private work in working hours without express prior permission
- private use of Council property, equipment or transport without authorisation
- serious breach of trust or confidence

This list is neither exclusive nor exhaustive

London Borough of Enfield Employee Code of Conduct Declaration Form

This form **must** be completed and signed by all employees.

Please read the Employee Code of Conduct and associated Guidance Notes on the declaration of pecuniary and personal interests **before** completing this form.

Please print clearly in black ink

Name:	
Work Address:	
Department:	
Section/Unit/Team:	
Tel no:	

PART A

1. Employment

1.1 I am/will be employed by the London Borough of Enfield as:

(if you have more than one job in the Council please list all those jobs)

1.2 I also work as:

Employers name and nature of business:

1.3 I am also self-employed as:

I trade under the following name(s):

PART B - Personal Interests of Employee

1. Financial interests

1.1 Directorships: If these interests are unlikely to have a direct impact on your area of work, you do not need to identify them.

I or my close relative (e.g. wife, husband, partner, parent, child) hold the following Directorships in the following company(ies):

1.2 Shareholdings: If these interests are unlikely to have a direct impact on your area of work, you do not need to identify them.

I or my nominees own shares in the following company(ies):

1.3 Grant Aid/Professional Bodies: If these interests are unlikely to have a direct impact on your area of work, you do not need to identify them.

I have recently applied or intend to apply for a grant on my own behalf from Enfield Council.

YES NO

If 'YES' specify nature and amount.

A group or organisation in which I am involved in the running (and in the decision making process) has applied for and/or intends to apply for a grant from Enfield Council.

YES NO

If 'YES' specify nature, amount and your position in group/organisation.

2. Clubs, Associations and Societies: If these interests are unlikely to have a direct impact on your area of work, you do not need to identify them.

I have a personal interest in or membership of the following club(s), association(s) or society(ies) which has the potential to conflict with the interests of the Council:

3. Professional Bodies: If these interests are unlikely to have a direct impact on your area of work, you do not need to identify them.

I am involved in the decision making process of the following body(ies): (Please state name and nature of any professional body/bodies in which you are a member).

4. Select Societies: If these interests are unlikely to have a direct impact on your area of work, you do not need to identify them.

I have a personal interest in/or membership of the following society(ies): (Please state name and nature of any select society(ies) in which you have a personal interest/ membership)

Please state in what capacity you are a member:

5. Housing Association: If these interests are unlikely to have a direct impact on your area of work, you do not need to identify them.

5.1 I am a member or office holder of an Enfield Housing Association.

YES NO

If 'YES' specify name(s), registered office(s) and capacity(ies):

5.2 I am a member or office holder of an Enfield Tenants/Residents' Association in the Borough of Enfield.

YES NO

If 'YES' specify name(s) of association(s):

6. Other Interests: If these interests are unlikely to have a direct impact on your area of work, you do not need to identify them.

6.1 I or my partner are associated with a limited company or unincorporated business.

YES NO

Specify nature of association(s):

6.2 I am involved in the decision making process of a charitable trust.

YES NO

If 'YES' specify which trust(s) and nature of relationship with the trust(s):

6.3 I have another interest, which may impact on my work.

YES NO

Please specify:

The Council encourages voluntary work, public duties and activities in support of the local community and employee involvement in these areas is welcomed and supported.

6.4 I have been appointed by a Government Minister as a member of a statutory undertaking(s) or other body(ies) which is constituted in order to discharge or assist in discharging a statutory function.

YES NO

If 'YES' specify body(ies):

6.5 I am a Councillor in a Local Authority.

YES NO

If 'YES' specify which authority and office/title held:

6.6 I am a co-opted member of a Local Authority Committee(s),

Sub-Committee(s) or similar body.

YES NO

If 'YES' please specify:

6.7 I am a member of a school governing body and/or am on the controlling body of a parent/teachers association(s).

YES NO

If 'YES' specify which association(s):

6.8 I am a Board member of a Hospital Trust/Health Authority.

YES NO

If 'YES' specify Hospital/Health Authority:

6.9 I serve as a Magistrate.

YES NO

If 'YES' specify which Court:

7. Recruitment of Relatives

Are you related to, or have an association with, a Member of the Council or anyone working for the Council? The term 'associated with' includes spouse, partner, close family members e.g. mother, father, sister, brother and other persons with whom you have a close personal relationship.

YES NO

If 'YES' specify name(s) and work area(s)

Declaration

Please see Guidance Note for Staff re: inspection of information (Paragraph 6). I declare that I have read the Code of Conduct and associated Guidance Notes on declaration of pecuniary and personal interests and to the best of my knowledge and belief the answers given above are truthful, accurate and complete. I undertake to inform my designated manager of any change to these answers within 28 days of that change taking place.

Signed

Date

When completed, please return this form to: Human Resources, Civic Centre.



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